

CREDAL TREES UNDER EPISTEMIC IRRELEVANCE

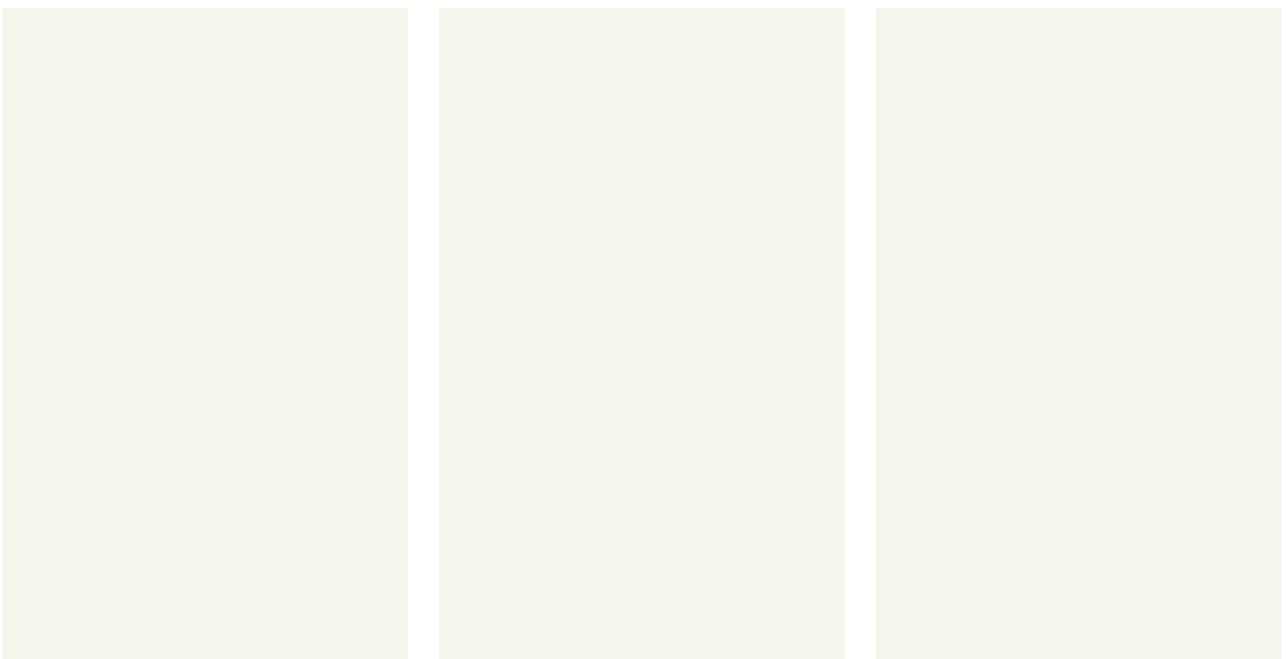
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5th SIPTA School
19 July 2012

What would we like to achieve and convey?



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CREDAL TREES
UNDER
IRRELEVANCE

interpretation of
the graphical structure

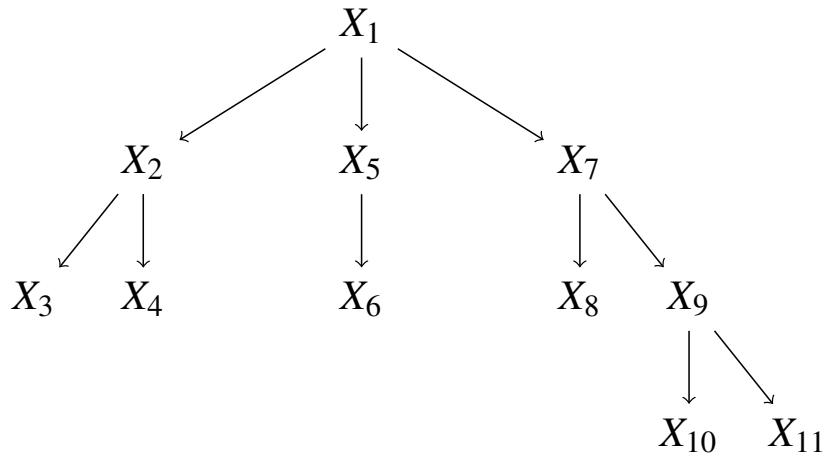
recursive construction
of the joint

Credal trees under epistemic
irrelevance

Credal networks: the special case of a tree

Basic concept

Consider a **directed tree** T , with a variable X_t attached to each node $t \in T$.



Each variable X_t assumes values in a set \mathcal{X}_t .

Credal trees: local uncertainty models

Local uncertainty model associated with each node t

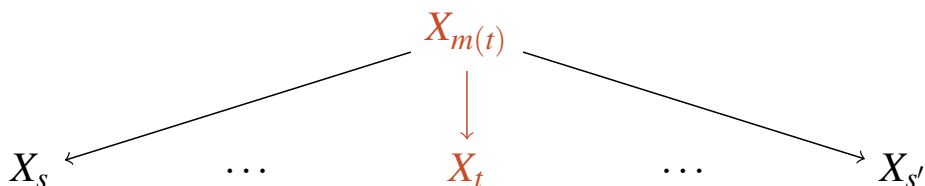
For each possible value $x_{m(t)} \in \mathcal{X}_{m(t)}$ of the **mother variable** $X_{m(t)}$, we have a conditional lower prevision/expectation

$$\underline{Q}_t(\cdot | x_{m(t)}) : \mathcal{L}(\mathcal{X}_t) \rightarrow \mathbb{R}$$

where

$$\underline{Q}_t(f | x_{m(t)}) = \text{lower prevision of } f(X_t), \text{ given that } X_{m(t)} = x_{m(t)}.$$

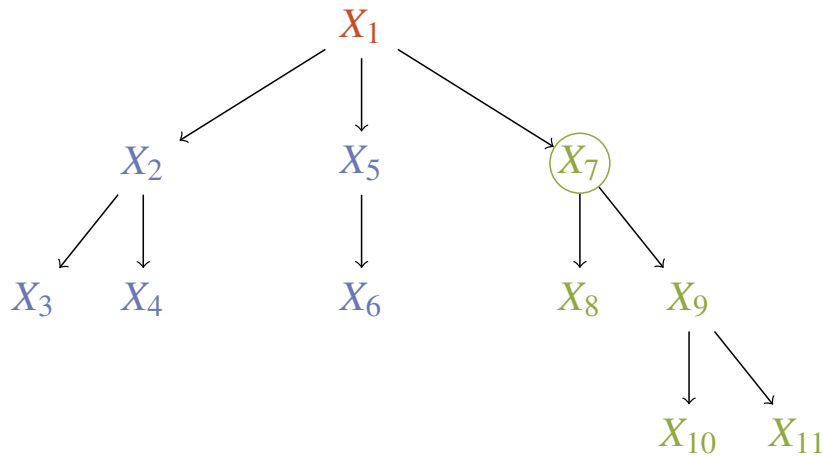
The local model $\underline{Q}_t(\cdot | X_{m(t)})$ is a **conditional lower prevision operator**.



Interpretation of the graphical structure

The graphical structure is interpreted as follows:

Conditional on the **mother** variable, the **non-parent non-descendants** of each node variable are epistemically irrelevant to it and its descendants.



Constructing the joint recursively

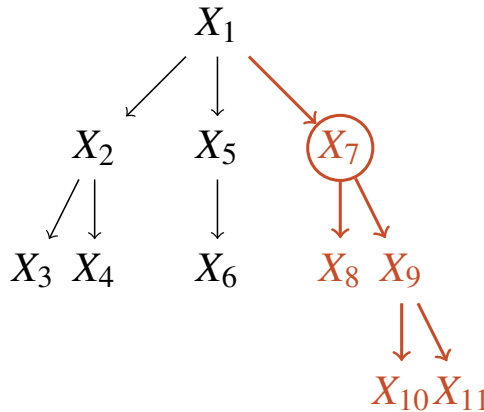
Constructing global from local models

For each node t , we want to construct a **global model**:

$$\underline{P}_t(\cdot | X_{m(t)}) : \mathcal{L}(\mathcal{X}_{\downarrow t}) \rightarrow \mathbb{R}$$

representing the uncertainty about all variables $X_{\downarrow t}$ in the **subtree** $\downarrow t$ below t :

$$\downarrow t = \{t\} \cup \{s : s \text{ is a descendant of } t\}$$



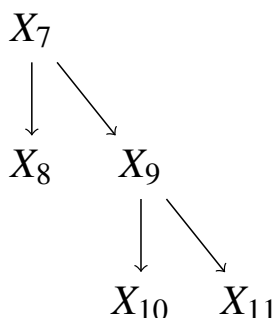
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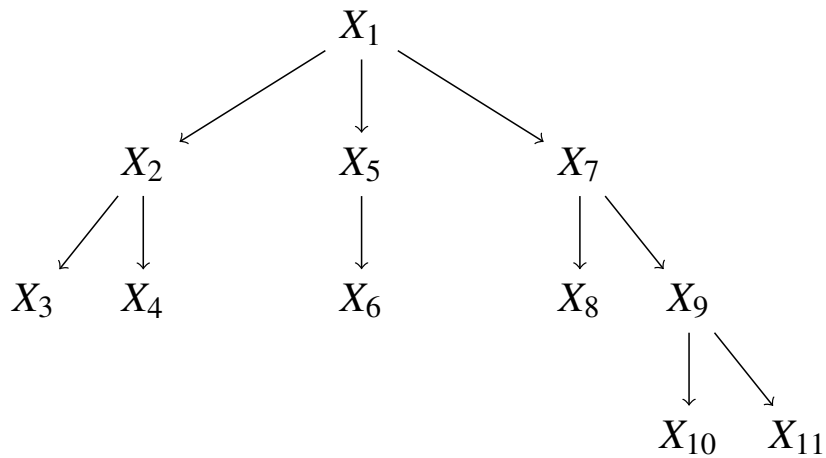
$$\downarrow 7 = \{7, 8, 9, 10, 11\}$$

$$X_{\downarrow 7} = (X_7, X_8, X_9, X_{10}, X_{11})$$

$$X_{m(7)} = X_1$$

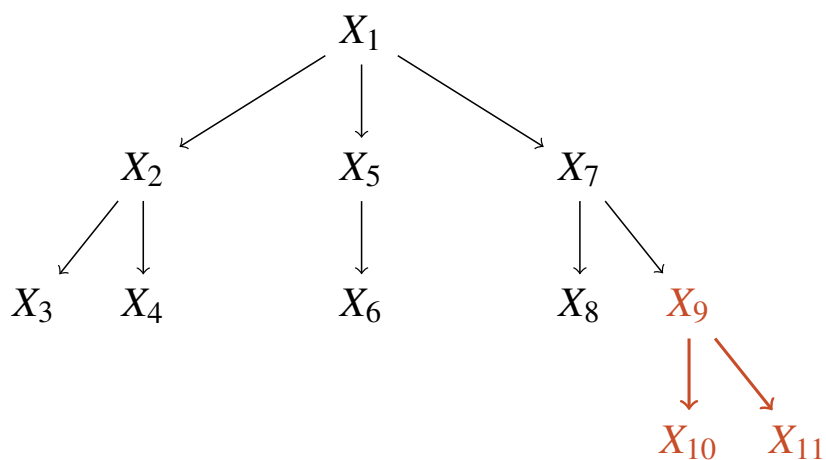
First crucial observation

We can build up any tree in a **recursive fashion**, repeating much simpler basic **building blocks**.



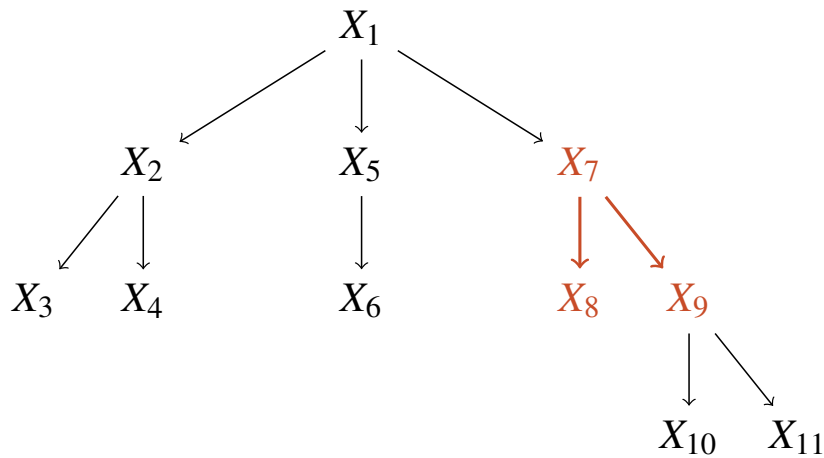
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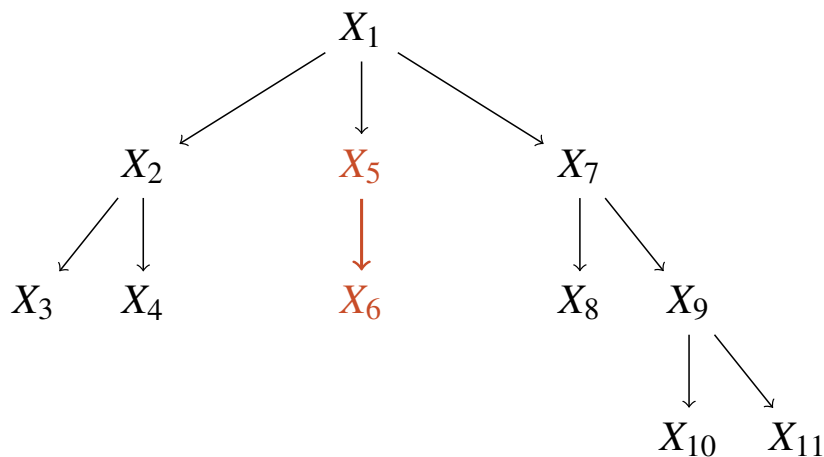
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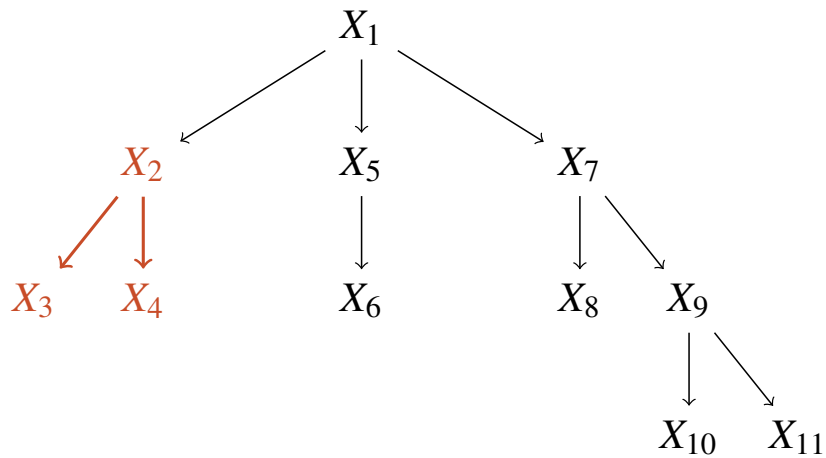
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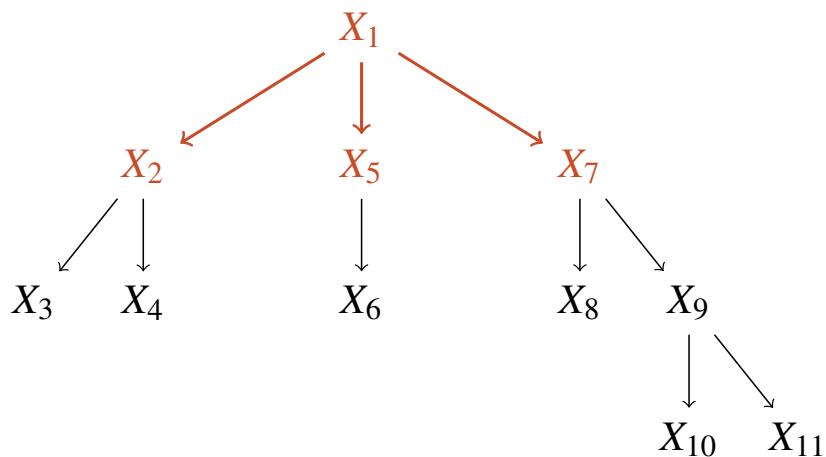
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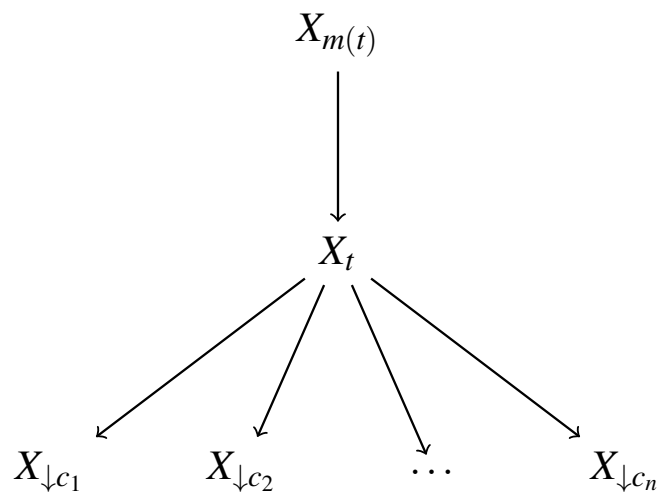


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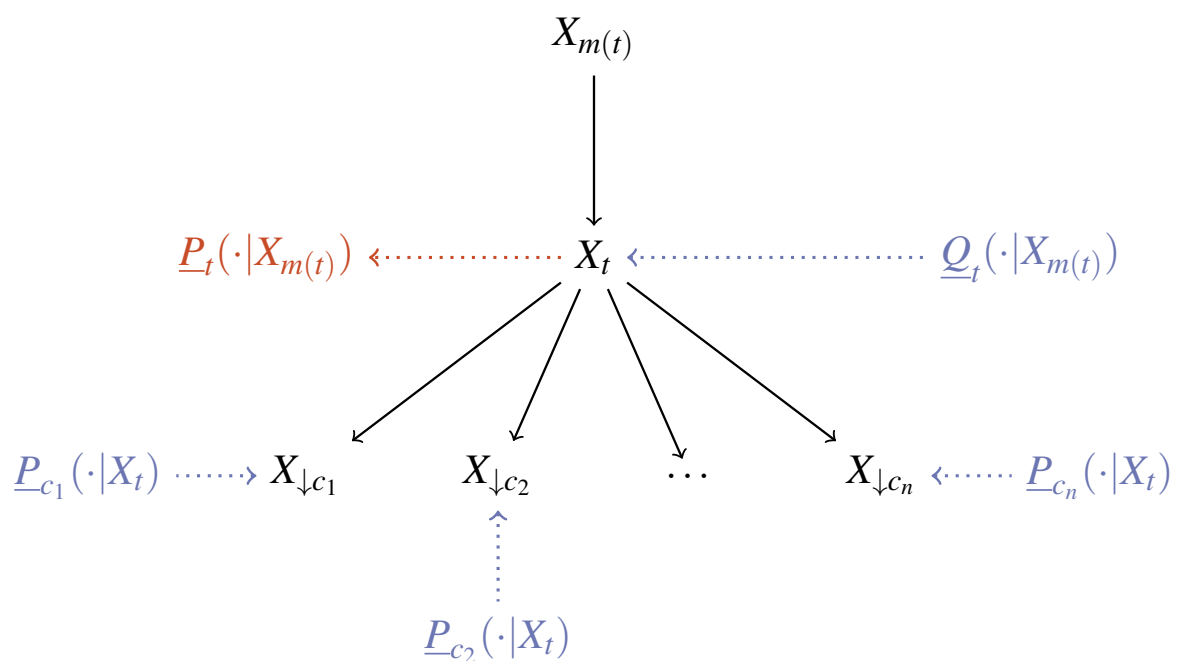
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Graphical representation of the recursion

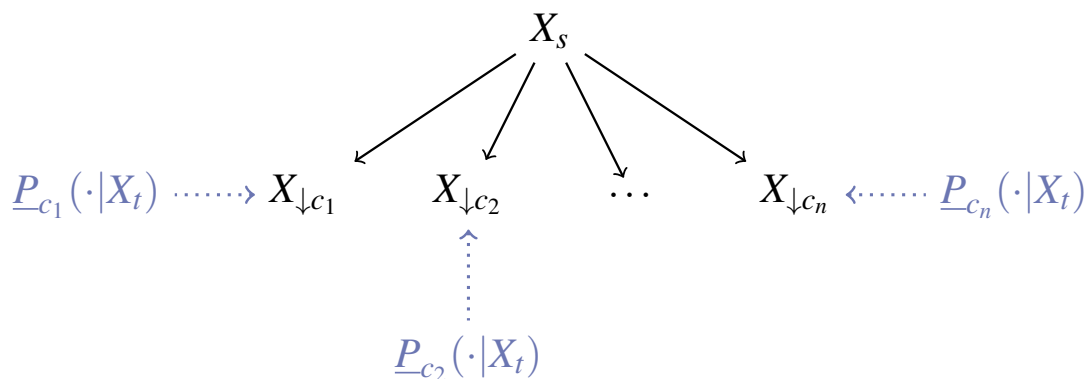


Graphical representation of the recursion



First step: independent natural extension

Conditional on X_t , the children X_c , $c \in \text{chld}(t)$ are **epistemically independent**.

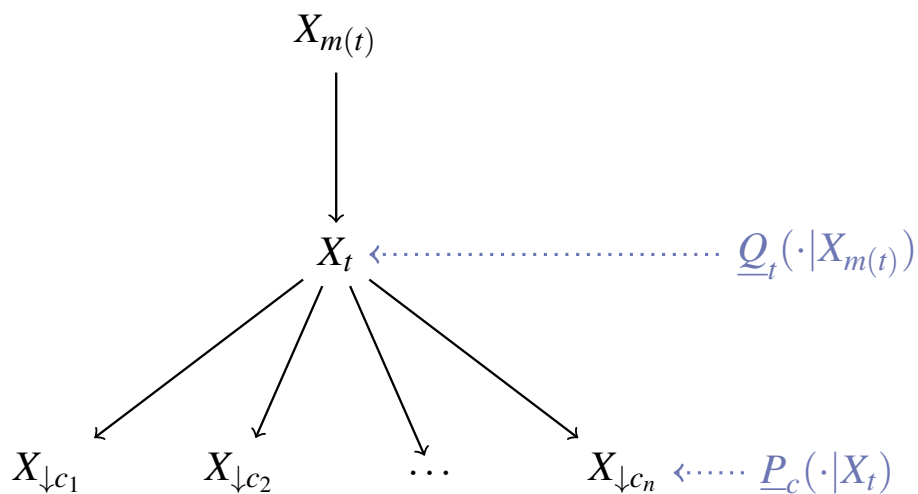


Independent natural extension $\underline{E}_t(\cdot | X_t)$ on $\mathcal{L}(\mathcal{X}_{\downarrow t} \setminus \{t\})$:

$$\underline{E}_t(\cdot | x_t) = \otimes_{c \in \text{chld}(t)} \underline{P}_c(\cdot | x_t) \text{ for all } x_t \in \mathcal{X}_t$$

First step: independent natural extension

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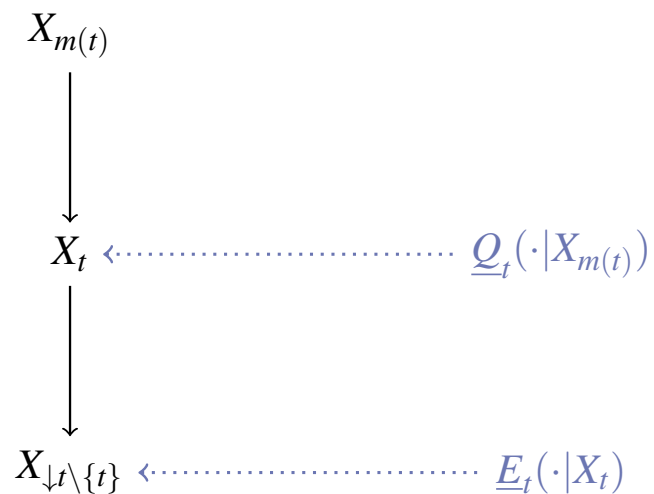


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First step: independent natural extension

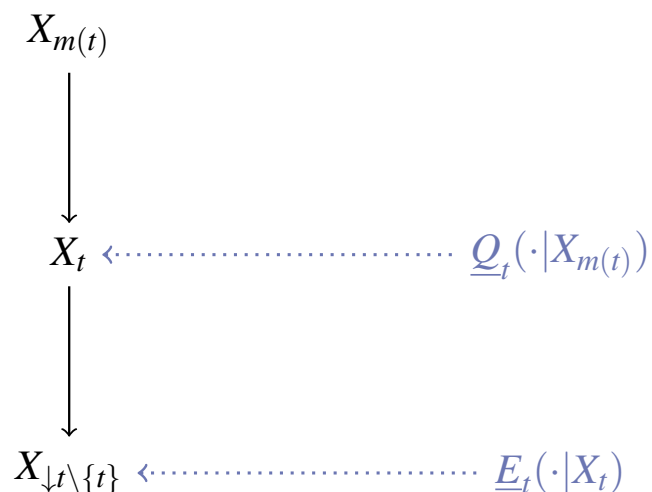
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Step 2: marginal extension



Step 2: marginal extension

Bayes's Rule:

$$p(x_2, x_3 | x_1) = p(x_3 | x_2, x_1) p(x_2 | x_1) = p(x_3 | x_2) p(x_2 | x_1)$$

Taking expectations:

$$\begin{aligned} \sum_{\substack{x_2 \in \mathcal{X}_2 \\ x_3 \in \mathcal{X}_3}} f(x_2, x_3) p(x_2, x_3 | x_1) &= \sum_{\substack{x_2 \in \mathcal{X}_2 \\ x_3 \in \mathcal{X}_3}} f(x_2, x_3) p(x_3 | x_2) p(x_2 | x_1) \\ &= \sum_{x_2 \in \mathcal{X}_2} p(x_2 | x_1) \sum_{x_3 \in \mathcal{X}_3} f(x_2, x_3) p(x_3 | x_2) \\ &= \sum_{x_2 \in \mathcal{X}_2} p(x_2 | x_1) E(f(x_2, X_3) | x_2) \\ &= E(E(f(X_2, X_3) | X_2) | x_1) \end{aligned}$$

Law of iterated expectations:

$$E(f(X_2, X_3) | X_1) = E(E(f(X_2, X_3) | X_2) | X_1)$$

Step 2: marginal extension

Bayes's Rule:

$$p(x_2, x_3 | x_1) = p(x_3 | x_2, x_1) p(x_2 | x_1) = p(x_3 | x_2) p(x_2 | x_1)$$

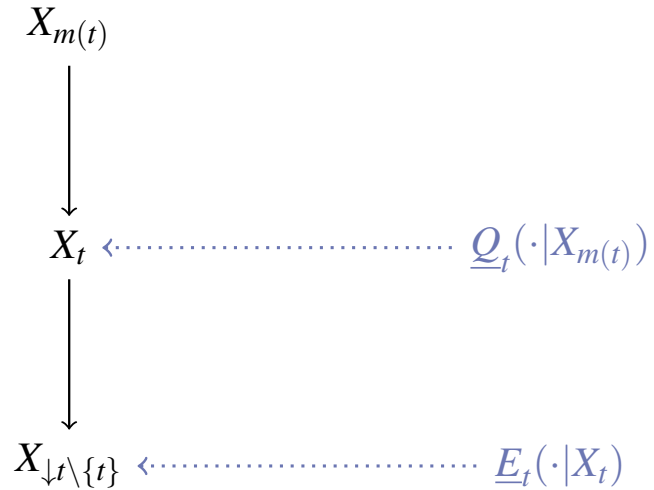
Taking expectations:

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Law of iterated expectations:

$$\underline{P}(f(X_2, X_3) | X_1) = \underline{P}(\underline{P}(f(X_2, X_3) | X_2) | X_1)$$

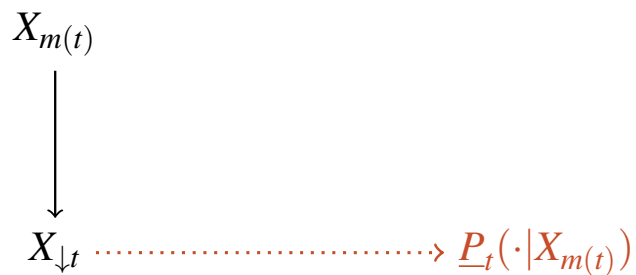
Step 2: marginal extension



Marginal extension (or law of iterated expectations):

$$\underline{P}_t(\cdot | X_{m(t)}) = \underline{Q}_t(\underline{E}_t(\cdot | X_t) | X_{m(t)}) = \underline{Q}_t(\otimes_{c \in \text{chld}(t)} \underline{P}_c(\cdot | X_t) | X_{m(t)})$$

Step 2: marginal extension



Marginal extension (or law of iterated expectations):

$$\underline{P}_t(\cdot | X_{m(t)}) = \underline{Q}_t(\underline{E}_t(\cdot | X_t) | X_{m(t)}) = \underline{Q}_t(\otimes_{c \in \text{chld}(t)} \underline{P}_c(\cdot | X_t) | X_{m(t)})$$

Recursive construction of the joint: summary

We start at the leaves s with:

$$\underline{P}_s(\cdot|X_{m(s)}) := \underline{Q}_s(\cdot|X_{m(s)}) \text{ for all leaves } s$$

and move recursively upwards to the root of the tree:

$$\left. \begin{aligned} \underline{E}_t(\cdot|X_t) &:= \otimes_{c \in \text{chld}(t)} \underline{P}_c(\cdot|X_t) \\ \underline{P}_t(\cdot|X_{m(t)}) &:= \underline{Q}_t(\underline{E}_t(\cdot|X_t)|X_{m(t)}) \end{aligned} \right\} \text{ for all non-terminal nodes } t.$$

Fundamental result

Theorem

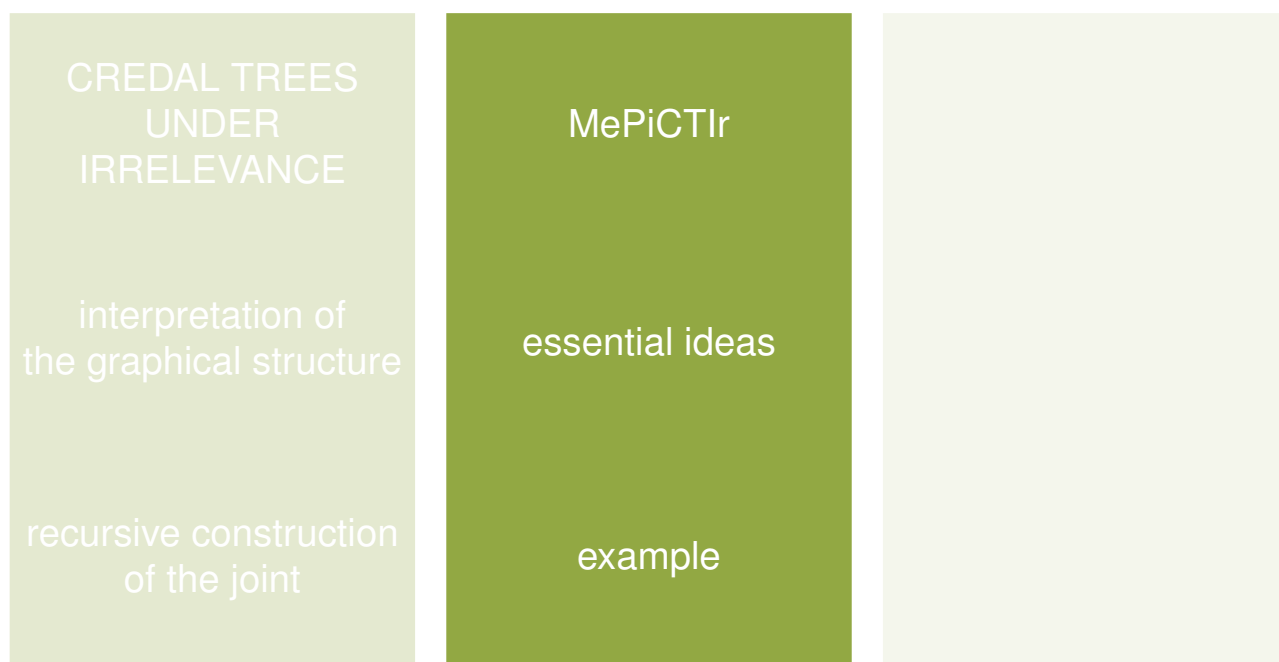
In this recursive fashion, we construct the **point-wise smallest** (most conservative) collection of global models $\underline{P}_s(\cdot|X_{m(s)})$ that

- (i) is coherent;
- (ii) is compatible with the local information;
- (iii) reflects all the epistemic irrelevancies embodied in the graphical structure.

Important remark:

This recursive construction of the joint is **only valid** if we impose (the asymmetrical) irrelevance, not if we impose (the symmetrical) **independence**: in the latter case the development is more complicated and computationally less efficient.

What would we like to achieve and convey?



MePiCTIr algorithm

Credal networks under epistemic irrelevance

For a credal tree we can find the joint model from the local models **recursively**, from leaves to root.

Exact message passing algorithm

- credal tree treated as an expert system
- **linear complexity** in the number of nodes

Python code

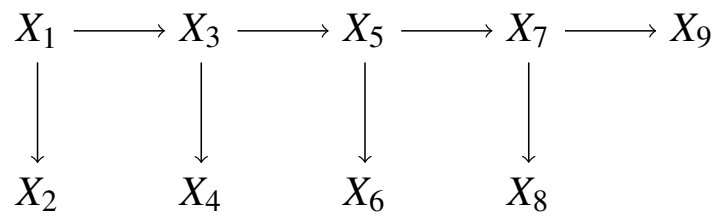
- written by Filip Hermans
- testing and connection with strong independence in cooperation with Marco Zaffalon and Alessandro Antonucci

Current (toy) applications in HMMs

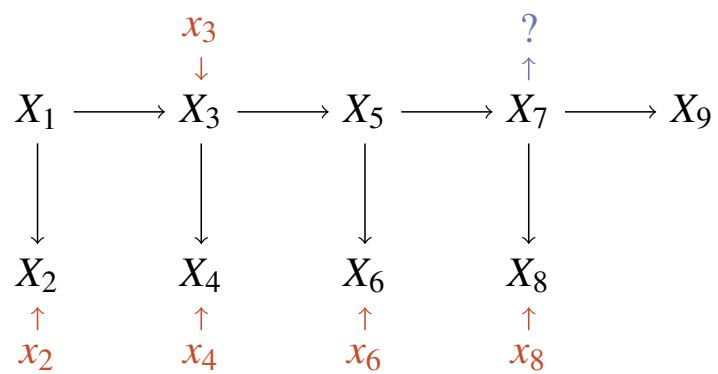
character recognition, air traffic trajectory tracking and identification, earthquake rate prediction

Explaining the basics of the algorithm

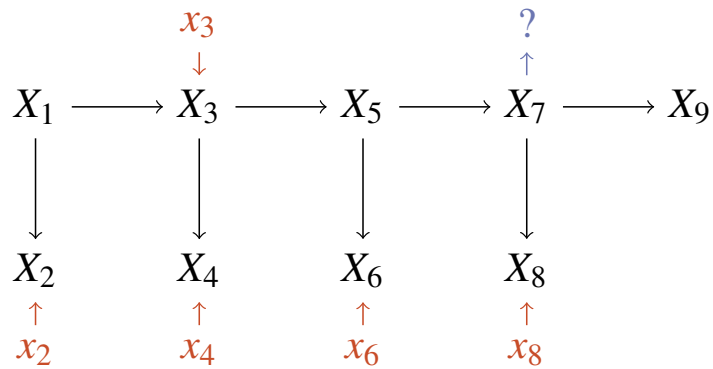
Basics of the algorithm: an example



Basics of the algorithm: an example



Basics of the algorithm: an example



Regular extension

$$\begin{aligned} \underline{R}(g(X_7) | X_2 = x_2, X_3 = x_3, X_4 = x_4, X_6 = x_6, X_8 = x_8) \\ = \max \{ \mu \in \mathbb{R} : \underline{P}_1 (\mathbb{I}_{\{x_2\}} \mathbb{I}_{\{x_3\}} \mathbb{I}_{\{x_4\}} \mathbb{I}_{\{x_6\}} \mathbb{I}_{\{x_8\}} [g(X_7) - \mu]) \} \end{aligned}$$

Intermezzo: regular extension

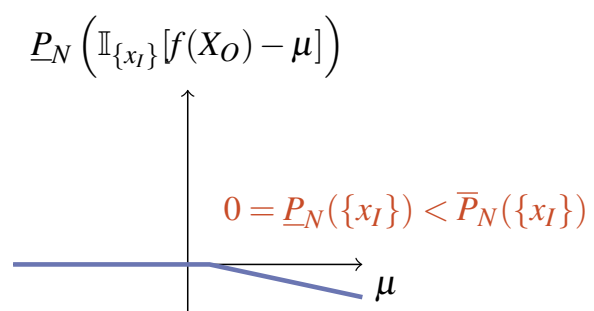
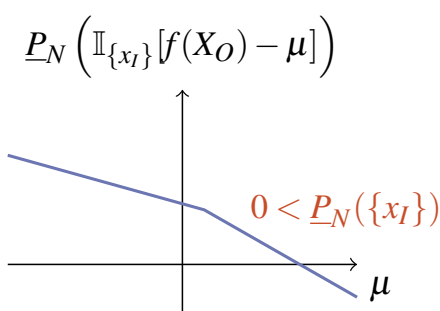
Recall:

$$\underline{P}_I(g(X_O) | x_O) = \sup \{ \mu \in \mathbb{R} : \mathbb{I}_{\{x_I\}} [g(X_O) - \mu] \in \mathcal{D}_N \}$$

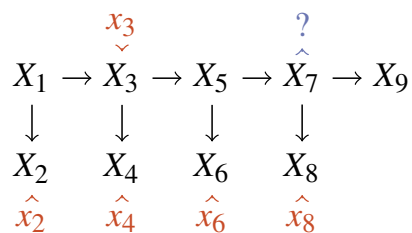
But we only know the joint **lower prevision** \underline{P}_N , not the set \mathcal{D}_N :

Regular extension:

$$\begin{aligned} \underline{R}(g(X_O) | x_I) &:= \sup \{ \mu \in \mathbb{R} : \mathbb{I}_{\{x_I\}} [f(X_O) - \mu] \in \text{cl}(\mathcal{D}_N) \} \\ &:= \max \{ \mu \in \mathbb{R} : \underline{P}_N (\mathbb{I}_{\{x_I\}} [f(X_O) - \mu]) \geq 0 \} \end{aligned}$$



Basics of the algorithm: calculating the joint



We have to calculate $\underline{P}_1(f_1)$, where

$$f_1 = \mathbb{I}_{\{x_2\}} \mathbb{I}_{\{x_3\}} \mathbb{I}_{\{x_4\}} \mathbb{I}_{\{x_6\}} \mathbb{I}_{\{x_8\}} [g(X_7) - \mu] = \mathbb{I}_{\{x_2\}} f_3$$

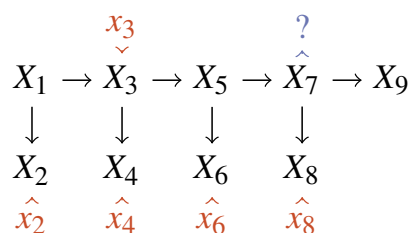
Now use the **recursion formula** for \underline{P}_1 :

$$\underline{P}_1(f_1) = \underline{Q}_1(\underline{E}_1(f_1|X_1))$$

and the **factorisation property** of independent natural extension:

$$\underline{E}_1(f_1|X_1) = \underline{E}_1(\mathbb{I}_{\{x_2\}} f_3|X_1) = \overline{Q}_2(\{x_2\}|X_1) \odot \underline{P}_3(f_3|X_1)$$

Basics of the algorithm: one level down



We have to calculate $\underline{P}_3(f_3|X_1)$, where

$$f_3 = \mathbb{I}_{\{x_3\}} \mathbb{I}_{\{x_4\}} \mathbb{I}_{\{x_6\}} \mathbb{I}_{\{x_8\}} [g(X_7) - \mu] = \mathbb{I}_{\{x_3\}} \mathbb{I}_{\{x_4\}} f_5$$

Now use the **recursion formula** for $\underline{P}_3(\cdot|X_1)$:

$$\underline{P}_3(f_3|X_1) = \underline{Q}_3(\underline{E}_3(f_3|X_3)|X_1)$$

and the **factorisation property** of independent natural extension:

$$\underline{E}_3(f_3|X_3) = \underline{E}_3(\mathbb{I}_{\{x_3\}} \mathbb{I}_{\{x_4\}} f_5|X_3) = \mathbb{I}_{\{x_3\}} \overline{Q}_4(\{x_4\}|x_3) \odot \underline{P}_5(f_5|x_3)$$

Basics of the algorithm: summary so far

$$\begin{array}{ccccccc}
 & & x_3 & & ? & & \\
 & & \downarrow & & \downarrow & & \\
 X_1 & \rightarrow & \hat{X}_3 & \rightarrow & X_5 & \rightarrow & \hat{X}_7 & \rightarrow & X_9 \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow & & \\
 X_2 & & X_4 & & X_6 & & X_8 & & \\
 \hat{x}_2 & & \hat{x}_4 & & \hat{x}_6 & & \hat{x}_8 & &
 \end{array}$$

In summary, so far we have:

$$\underline{P}_3(f_3|X_1) = \underline{Q}_3(\{x_3\}|X_1) \odot \underline{Q}_4(\{x_4\}|x_3) \odot \underline{P}_5(f_5|x_3)$$

$$\underline{E}_1(f_1|X_1) = \underline{Q}_2(\{x_2\}|X_1) \odot \underline{Q}_3(\{x_3\}|X_1) \odot \underline{Q}_4(\{x_4\}|x_3) \odot \underline{P}_5(f_5|x_3)$$

$$\begin{aligned}
 \underline{P}_1(f_1) &= \underline{Q}_1\left(\underline{Q}_2(\{x_2\}|X_1)\underline{Q}_3(\{x_3\}|X_1)\right)\underline{Q}_4(\{x_4\}|x_3) \odot \underline{P}_5(f_5|x_3) \\
 &= \underline{P}_1(\{(x_2, x_3, x_4)\}) \odot \underline{P}_5(f_5|x_3)
 \end{aligned}$$

Basics of the algorithm: pruning the irrelevant part

$$\begin{array}{ccc}
 & & ? \\
 X_5 & \rightarrow & \hat{X}_7 & \rightarrow & X_9 \\
 \downarrow & & \downarrow & & \\
 X_6 & & X_8 & & \\
 \hat{x}_6 & & \hat{x}_8 & &
 \end{array}$$

Because $\underline{P}_1(f_1) \geq 0 \Leftrightarrow \underline{P}_5(f_5|x_3) \geq 0$, we have to calculate $\underline{P}_5(f_5|x_3)$, where

$$f_5 = \mathbb{I}_{\{x_6\}} \mathbb{I}_{\{x_8\}} [g(X_7) - \mu] = \mathbb{I}_{\{x_6\}} f_7$$

Now use the **recursion formula** for $\underline{P}_5(\cdot|x_3)$:

$$\underline{P}_5(f_5|x_3) = \underline{Q}_5(\underline{E}_5(f_5|X_5)|x_3)$$

and the **factorisation property** of independent natural extension:

$$\underline{E}_5(f_5|X_5) = \underline{E}_5(\mathbb{I}_{\{x_6\}} f_7|X_5) = \underline{Q}_6(\{x_6\}|X_5) \odot \underline{P}_7(f_7|X_5)$$

Basics of the algorithm: one level down

$$\begin{array}{ccccc}
 & & ? & & \\
 X_5 & \rightarrow & \hat{X}_7 & \rightarrow & X_9 \\
 \downarrow & & \downarrow & & \\
 X_6 & & X_8 & & \\
 \hat{x}_6 & & \hat{x}_8 & &
 \end{array}$$

We have to calculate $\underline{P}_7(f_7|X_5)$, where

$$f_7 = \mathbb{I}_{\{x_8\}}[g(X_7) - \mu]$$

Now use the **recursion formula** for $\underline{P}_7(\cdot|X_5)$:

$$\underline{P}_7(f_7|X_5) = \underline{Q}_7(\underline{E}_7(f_7|X_7)|X_5)$$

and apply independent natural extension:

$$\underline{E}_7(\mathbb{I}_{\{x_8\}}[g(X_7) - \mu]|X_7) = \overline{Q}_8(\mathbb{I}_{\{x_8\}}|X_7) \odot [g(X_7) - \mu]$$

Basics of the algorithm: summary

$$\begin{array}{ccccc}
 & & ? & & \\
 X_5 & \rightarrow & \hat{X}_7 & \rightarrow & X_9 \\
 \downarrow & & \downarrow & & \\
 X_6 & & X_8 & & \\
 \hat{x}_6 & & \hat{x}_8 & &
 \end{array}$$

In summary, we have that

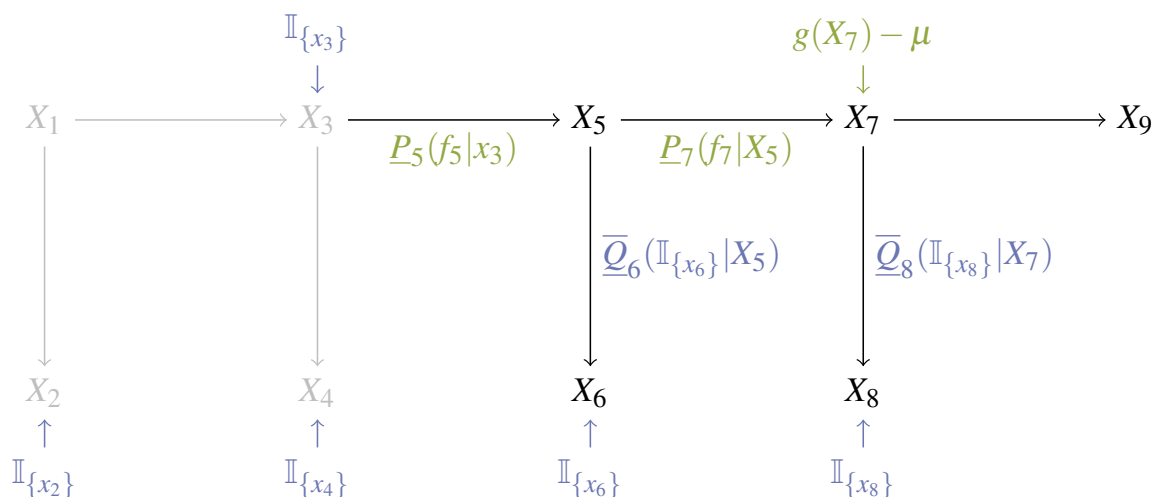
$$\underline{P}_1(f_1) \geq 0 \Leftrightarrow \underline{P}_5(f_5|x_3) \geq 0$$

where

$$\underline{P}_5(f_5|x_3) = \underline{Q}_5(\underline{Q}_6(\{x_6\}|X_5) \odot \underline{P}_7(f_7|X_5)|x_3)$$

$$\underline{P}_7(f_7|X_5) = \underline{Q}_7(\overline{Q}_8(\mathbb{I}_{\{x_8\}}|X_7) \odot [g(X_7) - \mu]|X_5)$$

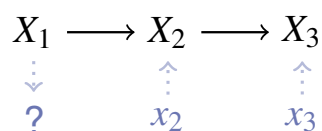
Basics of the algorithm: message passing



$$\underline{P}_7(f_7|X_5) = \underline{Q}_7(\underline{Q}_8(\mathbb{I}_{\{x_8\}}|X_7) \odot [g(X_7) - \mu]|X_5)$$

$$\underline{P}_5(f_5|x_3) = \underline{Q}_5(\underline{Q}_6(\{x_6\}|X_5) \odot \underline{P}_7(f_7|X_5)|x_3)$$

Exercise: MePiCTIr



Let $\mathcal{X}_1 = \{a, b\}$ and \underline{Q}_1 be a linear model Q_1 with mass function p_1 .

$$Q_1(f) = f(a)p_1(a) + f(b)p_1(b)$$

We also assume that $\underline{Q}_2(\cdot|X_1)$ is a linear model $Q_2(\cdot|X_1)$ with conditional mass function $p(\cdot|X_1)$.

We make no restrictions on the local model $\underline{Q}_3(\cdot|X_2)$ and let $\underline{q} := \underline{Q}_3(\{x_2\}|x_2)$ and $\bar{q} := \bar{Q}_3(\{x_2\}|x_2)$.

Show that (and what happens if $\underline{q} = \bar{q}$?):

$$\underline{R}(\{a\}|x_2, x_3) = \frac{p_1(a)p(x_2|a)\underline{q}}{p_1(a)p(x_2|a)\underline{q} + p_1(b)p(x_2|b)\bar{q}}$$

$$\bar{R}(\{a\}|x_2, x_3) = \frac{p_1(a)p(x_2|a)\bar{q}}{p_1(a)p(x_2|a)\bar{q} + p_1(b)p(x_2|b)\underline{q}}$$

Exercise: solution

$$f := \mathbb{I}_{\{x_2\}}(X_2)\mathbb{I}_{\{x_3\}}(X_3)[I_{\{a\}}(X_1) - \mu] \text{ with } \mu \in [0, 1]$$

We want to find $\underline{P}_{\{1,2,3\}}(f)$ where the joint $\underline{P}_{\{1,2,3\}}$ is given by the recursion equations:

$$\begin{aligned} \underline{P}_3(\cdot|X_2) &:= \underline{Q}_3(\cdot|X_2) \\ \underline{P}_{\{2,3\}}(\cdot|X_1) &:= \underline{Q}_2(\underline{P}_3(\cdot|X_2)|X_1) = \underline{Q}_2(\underline{Q}_3(\cdot|X_2)|X_1) \\ \underline{P}_{\{1,2,3\}} &:= \underline{Q}_1(\underline{P}_{\{2,3\}}(\cdot|X_1)) = \underline{Q}_1(\underline{Q}_2(\underline{Q}_3(\cdot|X_2)|X_1)) \end{aligned}$$

So

$$\begin{aligned} g(X_1, X_2) &:= \underline{Q}_3(\mathbb{I}_{\{x_2\}}(X_2)\mathbb{I}_{\{x_3\}}(X_3)[I_{\{a\}}(X_1) - \mu]|X_2) \\ &= \mathbb{I}_{\{x_2\}}(X_2)\underline{Q}_3(\mathbb{I}_{\{x_3\}}(X_3)[I_{\{a\}}(X_1) - \mu]|x_2) \\ &= \mathbb{I}_{\{x_2\}}(X_2)\underline{q} \odot [I_{\{a\}}(X_1) - \mu] \\ h(X_1) &:= \underline{Q}_2(g(X_1, X_2)|X_1) = \begin{cases} \underline{q}[1 - \mu]p(x_2|a) & \text{if } X_1 = a \\ \bar{q}[-\mu]p(x_2|b) & \text{if } X_1 = b \end{cases} \end{aligned}$$

Exercise: solution

and therefore finally:

$$\begin{aligned} \underline{P}_{\{1,2,3\}}(f) &= \underline{Q}_1(h(X_1)) = p_1(a)\underline{q}[1 - \mu]p(x_2|a) + p_1(b)\bar{q}[-\mu]p(x_2|b) \\ &= p_1(a)\underline{q}p(x_2|a) - \mu \left[p_1(a)p(x_2|a) + p_1(b)\bar{q}p(x_2|b) \right] \end{aligned}$$

leading to

$$\begin{aligned} \underline{R}(\{a\}|x_2, x_3) &= \max \left\{ \mu \in \mathbb{R} : \underline{P}_{\{1,2,3\}}(f) \geq 0 \right\} \\ &= \frac{p_1(a)p(x_2|a)\underline{q}}{p_1(a)p(x_2|a)\underline{q} + p_1(b)p(x_2|b)\bar{q}} \end{aligned}$$

and similarly for $\bar{R}(\{a\}|x_2, x_3)$.

If $\underline{q} = \bar{q}$ then (use Bayes's Theorem):

$$\underline{R}(\{a\}|x_2, x_3) = \bar{R}(\{a\}|x_2, x_3) = \frac{p_1(a)p(x_2|a)}{p_1(a)p(x_2|a) + p_1(b)p(x_2|b)} = p(a|x_2).$$

What would we like to achieve and convey?

CREDAL TREES
UNDER
IRRELEVANCE

interpretation of
the graphical structure

recursive construction
of the joint

MePiCTIr

essential ideas

example

EstiHMM

essential ideas




example

EstiHMM algorithm

See separate set of slides

References

Useful references

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